

General information about company	
Scrip code	533107
NSE Symbol	SWANDEF
MSEI Symbol	NOTLISTED
ISIN	INE542F01020
Name of the company	Swan Defence and Heavy Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	23-03-2026
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	19-03-2026
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	No	No	No	No
5	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
6	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
7	Whether any shares held by promoters are encumbered under "Pledged"?	No	No		
8	Whether any shares held by promoters are encumbered under "Non-Disposal Undertaking"?	No	No		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	No	No		
10	Whether company has equity shares with differential voting rights?	No	No	No	No
11	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.71
As on the end of previous 1st quarter	100	0.12
As on the end of previous 2nd quarter	100	0.13
As on the end of previous 3rd quarter	100	0.14
As on the end of previous 4th quarter	100	0.14

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)
								Class eg:X	Class eg:Y	Total					
(A)	Promoter & Promoter Group	1	47361253			47361253	89.9	47361253		47361253	89.9				
(B)	Public	87560	5320897			5320897	10.1	5320897		5320897	10.1				
(C)	Non Promoter-Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
	Total	87561	52682150			52682150	100	52682150		52682150	100				

Table I - Summary Statement holding of specified securities																
Category (I)	Category of shareholder (II)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized form (XVIII)	Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group	89.9											47361253			
(B)	Public	10.1											5320897	0	0	0
(C)	Non Promoter-Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
	Total	100											52682150	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group															
Sr. No.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shar Underlying Outstanding convertible securities, No. of Warrants and ESOP e (X) = (XA+XB+Y)	
								No of Voting (XIV) Rights							Total as a % of Total Voting rights
								Class eg: X	Class eg:Y	Total					
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group														
(1)	Indian														
(d)	Any Other (specify)	1	47361253			47361253	89.9	47361253		47361253	89.9				
Sub-Total (A)(1)		1	47361253			47361253	89.9	47361253		47361253	89.9				
(2)	Foreign														
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	47361253			47361253	89.9	47361253		47361253	89.9				
B	Table III - Statement showing shareholding pattern of the Public shareholder														
(1)	Institutions (Domestic)														
(a)	Mutual Funds	2	875763			875763	1.66	875763		875763	1.66				
(c)	Alternate Investment Funds	1	5500			5500	0.01	5500		5500	0.01				
(e)	Insurance Companies	1	202603			202603	0.38	202603		202603	0.38				
(i)	NBFCs registered with RBI	1	14			14	0	14		14	0				

Sub-Total (B)(1)		5	1083880			1083880	2.06	1083880		1083880	2.06				
(2)	Institutions (Foreign)														
(d)	Foreign Portfolio Investors Category I	11	317873			317873	0.6	317873		317873	0.6				
(e)	Foreign Portfolio Investors Category II	1	972			972	0	972		972	0				
Sub-Total (B)(2)		12	318845			318845	0.61	318845		318845	0.61				
(3)	Central Government / State Government(s)														
(4)	Non-institutions														
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	83340	1422755			1422755	2.7	1422755		1422755	2.7				
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	11	595401			595401	1.13	595401		595401	1.13				
(i)	Non Resident Indians (NRIs)	1197	53912			53912	0.1	53912		53912	0.1				
(l)	Bodies Corporate	478	1683333			1683333	3.2	1683333		1683333	3.2				
(m)	Any Other (specify)	2517	162771			162771	0.31	162771		162771	0.31				
Sub-Total (B)(4)		87543	3918172			3918172	7.44	3918172		3918172	7.44				

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		87560	5320897			5320897	10.1	5320897		5320897	10.1				
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder														
Total (A+B+C2)		87561	52682150			52682150	100	52682150		52682150	100				
Total (A+B+C)		87561	52682150			52682150	100	52682150		52682150	100				

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																
Sr. No.	Category & Name of the Shareholders (I)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized form (XVIII)	Sub-categorization of shares		
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group															
(1)	Indian															
(d)	Any Other (specify)	89.9											47361253			
Sub-Total (A)(1)		89.9											47361253			
(2)	Foreign															
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		89.9											47361253			
B	Table III - Statement showing shareholding pattern of the Public shareholder															
(1)	Institutions (Domestic)															
(a)	Mutual Funds	1.66											875763	0	0	0
(c)	Alternate Investment	0.01											5500	0	0	0

(m)	Any Other (specify)	0.31											162771	0	0	0
Sub-Total (B)(4)		7.44											3918172	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)		10.1											5320897	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder															
Total (A+B+C2)		100											52682150			
Total (A+B+C)		100											52682150			
Disclosure of notes in case of public share holding is less than 25 percentage																Textual Information(1)

Text Block	
Textual Information(1)	The Company has achieved 10.10% public shareholding and will be achieving the balance Minimum Public Shareholding within due date.

Any Other (specify)			
Sr. No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Hazel Infra Limited	Swan Corp Limited (formerly known as Swan Energy Limited)	
PAN (II)			Total
No. of the Shareholders (I)	1	0	1
No. of fully paid up equity shares held (IV)	47361253	0	47361253
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	47361253	0	47361253
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	89.9	0	89.9
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	47361253	0	47361253
Total	47361253	0	47361253
Total as a % of Total Voting rights	89.9	0	89.9
Total No. of shares on fully diluted basis (including warrants and Convertible Securities etc.) (XI)=(VII+X)	47361253	0	47361253
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	89.9	0	89.9

diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)			
Number of equity shares held in dematerialized form (XVIII)	47361253	0	47361253
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Mutual Funds		
Sr. No.	1	
Name of the Shareholders (I)	QUANT MUTUAL FUND-QUANT ELSS TAX SAVER FUND	Click here to go back
PAN (II)		Total
No. of fully paid up equity shares held (IV)	657922	657922
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	657922	657922
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.25	1.25
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	657922	657922
Total	657922	657922
Total as a % of Total Voting rights	1.25	1.25
Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	657922	657922
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	1.25	1.25

Number of equity shares held in dematerialized form (XIV)	657922	657922
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Bodies Corporate			
Sr. No.	1	2	
Name of the Shareholders (I)	VISTRA ITCL (INDIA) LIMITED	ZERODHA BROKING	Click here to go back
PAN (II)			Total
No. of fully paid up equity shares held (IV)	527654	550000	1077654
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	527654	550000	1077654
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1	1.04	2.05
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	527654	550000	1077654
Total	527654	550000	1077654
Total as a % of Total Voting rights	1	1.04	2.05
Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	527654	550000	1077654
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	1	1.04	2.05

Number of equity shares held in dematerialized form (XIV)	527654	550000	1077654
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Any Other (specify)					
Sr. No.	1	2	3	4	
Category	Clearing Members	HUF	Trusts	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	2	2511	3	1	2517
No. of fully paid up equity shares held (IV)	11252	150300	12	1207	162771
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11252	150300	12	1207	162771
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.29	0	0	0.31
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	11252	150300	12	1207	162771
Total	11252	150300	12	1207	162771
Total as a % of Total Voting rights	0.02	0.29	0	0	0.31
Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	11252	150300	12	1207	162771
Shareholding , as a % assuming full conversion of convertible securities (as a	0.02	0.29	0	0	0.31

percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)					
Number of equity shares held in dematerialized form (XIV)	11252	150300	12	1207	162771
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

